

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

In the Matter of the Arbitration Between )  
BRIAN M. BITTNER, ) Civil Action No. 07 Civ. 07154 (PAC)  
Petitioner, )  
v. )  
RBC CAPITAL MARKETS CORPORATION, )  
Respondent. )

**RESPONDENT RBC CAPITAL MARKETS CORPORATION'S**  
**RULE 7.1 DISCLOSURE STATEMENT**

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure and Local Civil Rule 7.1 of the U.S. District Court for the Southern District of New York, the undersigned counsel for respondent RBC Capital Markets Corporation hereby certifies that RBC Capital Markets Corporation is an indirect, wholly-owned subsidiary of Royal Bank of Canada, which is publicly traded on the New York Stock Exchange and Toronto Stock Exchange.

Dated: September 10, 2007      Respectfully submitted,

RBC CAPITAL MARKETS CORPORATION

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